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Docket Number
40404.30/tt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| Applicant(s): Hiroshi OJI Serial No.: Currently unknown Filing or 371(c) Date: Concurrently herewith Title: SEMICONDUCTOR DEVICE HAVING HIGH-K GATE DIELECTRIC LAYER AND METHOD FOR MANUFACTURING THE SAME | International Application No.: PCT/JP2005/11260 International Filing Date: June 20, 2005 |
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INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §1.56, and in recognition of his duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicant herewith submits the attached Form PTO-1449. Reference Nos. 1, 2, and 4-9 were cited in the attached International Search Report for PCT Application No. PCT/JP2005/11260.

The relevance of Reference No. 3 is discussed on page 1 of the substitute specification filed concurrently herewith.

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The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicant respectfully requests that the cited references be made of record in the subject application.

Respectfully submitted,

Date: March 21, 2006

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PTO/SB/08A (04-03)

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number 10/572730
Filing Date
First Named Inventor Hiroshi OJI
Art Unit 2818
Examiner Name Huynh
Attorney Docket Number 40404.30/tt

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number Number-Kind Code 2(if known) | Publication Date MM -YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| /AH/ | 2 | 5,589,410 | 12-1996 | SATO et al. | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document Country Code ³ Number-Kind Code ⁵ (if known) | Publication Date MM -YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
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| /AH/ | 3 | JP 2002-313950 (with English Abstract and machine translation) | 10-2002 | JAPAN | | X |
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| /AH/ | 5 | JP 2-28971 (with English Abstract) | 01-1990 | JAPAN | | X |
| /AH/ | 6 | JP 3-276730 (with English Abstract) | 12-1991 | JAPAN | | X |
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Examiner Signature

/Andy Huynh/ (12/24/2008)

Date

Considered

*Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

¹ Applicant unique citation designation number (optional). ²See Kind Codes of USPTO Patent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Abstract is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

